# **Optimization: Introduction**

#### **CPSC 501: Advanced Programming Techniques** Winter 2025

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## Definition



### **Optimization**

- **Optimization** is the process of modifying a program to improve its efficiency
  - Increase its speed
  - Reduce its size (memory usage)

- Optimization can often be seen as de-factoring
  - Program gets faster but...
  - Harder to understand, upkeep, read



### **Efficiency**

- Efficiency can be viewed in terms of:
  - 1. Program requirements
    - Does the program really need to run at a certain speed? Is it worth the extra effort
  - 2. Program design
    - If performance is important, design a performance-oriented architecture
      - Set resource goals for individual subsystems and classes
  - 3. Class and routine design
    - Choose efficient algorithms and datatypes
      - E.g. Quicksort vs. bubble sort
      - E.g. Binary search vs. linear search



### **Efficiency (cont'd)**

- 4. Operating system interactions
  - Working with files, dynamic memory, or I/O devices means using system calls
    - May be slow or fast
- 5. Code compilation
  - Good compilers produce optimized machine code
    - May have options for different optimization levels



### Efficiency (cont'd)

- 6. Hardware
  - A hardware upgrade may be the cheapest way to improve a program's performance
    - Not always possible
- 7. Code tuning
  - Small-scale changes made to code to make it run more efficiently
    - At the level of a single routine, or a few lines of code
  - Tends to produce hard-to-understand code
    - Obscures design



## Guide to the galaxy of optimization



### **General Guidelines**

- Don't optimize as you go
  - Focusing on optimization during initial development detracts from achieving correctness, readability, and design quality



### **General Guidelines (cont'd)**

- Jackson's Rules of Optimization:
  - Rule 1. Don't do it.
  - Rule 2 (for experts only). Don't do it yet—that is, not until you have a perfectly clear and unoptimized solution.

- Code tuning should be done only as a last step
  - Knuth: Pre-mature optimization is the root of all evil



### **General Guidelines (cont'd)**

- Optimize bottlenecks
  - The 80/20 rule: 20% of program's routines consume 80% of its execution time
    - Knuth found 4% of a FORTRAN program accounted for over 50% of its run time
  - Spend your time fixing these 'bottlenecks'
    - Don't waste effort on the other parts



### **General Guidelines (cont'd)**

- Measure performance when optimizing
  - Use a profiler to find bottlenecks
  - Use timers to measure CPU time
    - Make sure a change actually improves speed
      - May actually make things worse when using a different compiler, OS, or processor
- Run regression tests after each optimization
  - Make sure your program is still correct



## Swipe right



### Profiling

- Profiling
  - Is used to find how much time is spent in each function of a program
    - Helps find bottlenecks
    - Helps you compare the performance of algorithms or programs



### **Profiling (cont'd)**

- Works by sampling the program counter (PC register)
  - Periodically queries the program, recording the function in which it is running
- Is statistical in nature
  - i.e. is somewhat inexact, and will vary from run to run
- Also the act of enabling profiling will generally slow down operation of code, this slowdown can be different for varying classes



## **C++ profiling**



### Profilers – gcc

- Available UNIX profilers for programs compiled with gcc:
  - prof (most commonly used)
  - gprof
  - pixie



### **Profilers – gcc (cont'd)**

- Using prof:
  - Compile the program with the -p option
  - E.g.
    - gcc -c myprog.c
    - gcc -o myprog -p myprog.o
  - Run the program
  - E.g.
    - ./myprog
    - Produces the file mon.out
  - Print the profile report to stdout
  - E.g. prof myprog mon.out



### **Profilers – gcc (cont'd)**

### • Example output:

Each sample covers 8.00 byte(s) for 0.17% of 0.5742 seconds

procedure (file)	cum sec	cum %	seconds	%time
<pre>mycompare (<myprog>)</myprog></pre>	0.22	38.1	0.2188	38.1
unique ( <myprog>)</myprog>	0.43	74.1	0.2070	36.1
<pre>bubble_sort (<myprog>)</myprog></pre>	0.55	96.3	0.1270	22.1
extract ( <myprog>)</myprog>	0.57	99.8	0.0205	3.6
main ( <mysort>)</mysort>	0.57	100.0	0.0010	0.2



## **Timestamp profiling**



### **Profilers - Timing**

- Timing measurements
  - In UNIX, can use the time command to time an entire program
    - E.g.

#### time java Test

1.09u 0.12s 0:01.27 95.2%

<user CPU time> <system CPU time> <real\_time>



### Profilers - C/C++ clock()

• E.g.

 In C and C++, use the clock() function to measure the CPU time used by a function or section of code

```
#include <time.h>
#include <stdio.h>
   clock t before;
   double elapsed;
   before = clock();
   long running function();
   elapsed = clock() - before;
   printf("function used %.3f seconds\n",
          elapsed/CLOCKS PER SEC);
```



### Profilers - C/C++ clock() (cont'd)

 If the function takes a fraction of a second, run it in a loop to get a more accurate measurement

E.g. before = clock(); for (i = 0; i < 1000; i++) short\_running\_function(); elapsed = (clock() - before) / (double) i;



### Profilers – Java nanoTime()

In Java, use the nanoTime() method

• E.g.

long startTime = System.nanoTime(); longRunningMethod(); long elapsedTime = System.nanoTime() - startTime;

• Note: result is in nanoseconds (10<sup>-9</sup> s)

• Similar timing methods available for Python



### **Profilers – IPython timeit**

%timeit for one line (%time run once)

%%timeit for multiple lines (%%time run multiple lines once)



In [2]: %timeit x = [n \*\* 2 for n in range(1000)]
230 μs ± 9.36 μs per loop (mean ± std. dev. of 7 runs,
1,000 loops each)



### **Profilers – IPython prun**

Be wary of stored results in **timeit** (could use time to only run once, or make sure we are sorting random each time)



#### You can also profile something using prun

import random
L = [random.random() for i in range(100000)]
%prun %timeit L.sort()

#### I profiled the **timeit** sort command

It ran 6111 samples

7412 function calls (7312 primitive calls) in 4.308 seconds

Ordered by: internal time

ncalls	tottime	percall	cumtime	percall	filename:lineno(function)
6111	4.269	0.001	4.269	0.001	<pre>{method 'sort' of 'list' objects}</pre>
9	0.036	0.004	4.305	0.478	<magic-timeit>:1(inner)</magic-timeit>
5	0.002	0.000	0.002	0.000	<pre>socket.py:543(send)</pre>
12	0.000	0.000	0.000	0.000	<pre>{built-in method builtins.compile}</pre>
1	0.000	0.000	4.308	4.308	<pre>execution.py:909(timeit)</pre>
9	0.000	0.000	4.305	0.478	<pre>execution.py:125(timeit)</pre>
27/1	0.000	0.000	0.000	0.000	<pre>ast.py:320(generic_visit)</pre>
71	0.000	0.000	0.000	0.000	<pre>ast.py:193(iter_child_nodes)</pre>
138	0.000	0.000	0.000	0.000	<pre>{built-in method builtins.getattr}</pre>
1	0.000	0.000	3.472	3.472	<pre>timeit.py:183(repeat)</pre>
26/1	0 000	0 000	0 000	000	act $m(1E2/fix)$

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### **Profilers – Python cProfile**

#### In Pycharm this is as simple as a run option

More Run/Debug	>	🕼 Run 'Run' with Co <u>v</u> erage
Open In	>	
		<u>Concurrency Diagram for 'Run'</u>
Local <u>H</u> istory	>	Profile Lines 'Run'
襣 Run File in Python Console		Modify Run Configuration

Name	Call Count	Time	e (ms)	Own	Time (ms) 🔻
<listcomp></listcomp>	1	381	93.2%	286	69.9%
<method '_random.random'="" 'random'="" o<="" of="" td=""><td>100000</td><td>95</td><td>23.2%</td><td>95</td><td>23.2%</td></method>	100000	95	23.2%	95	23.2%
wrapper	1	392	95.8%	11	2.7%
<built-in method="" nt.stat=""></built-in>	62	4	1.0%	4	1.0%
_path_join	203	2	0.5%	1	0.2%
new module	6	0	0.0%	0	0.0%



### **Profilers – Python line\_profiler**

#### Also a run option but will need plugin installed for line\_profiler

jimport random				<b>▲</b> 6 🛫1 ^ ∨
from line_profiler_pycharm import profile				
Time in function:	2195567	0.000 <mark>000 s</mark>		
Colormap '%Time':	0%	100%		
	% Time	Hits	Time []	Time / Hit []
Oprofile				
def foo():	<u></u>			
L = [random.random() for i in range(1000000)]	100.0		2195254	2195254.0
× = 5	0.0		25	25. <mark>0</mark>
y = 100	0.0		5	5. <del>0</del>
print("sdsd")	0.0		283	283. <mark>0</mark>
				(e) (e)
foo()				



## Java profiling



### Standard JVM Profilers

- VisualVM, JProfiler, YourKit and Java Mission Control
- method calls and memory usage
- Pros:
  - Great for tracking down memory leaks, standard profilers detail out all memory usage by the JVM and which classes/objects are responsible.
  - Good for tracking CPU usage and zero in on hot spots.



### Standard JVM Profilers

- VisualVM, JProfiler, YourKit and Java Mission Control
- method calls and memory usage
- Cons:
  - Requires a direct connection to the monitored JVM; this ends up limiting usage to development environments in most cases.
  - They slow down your application; a good deal of processing power is required for the high level of detail provided.



- Lightweight Java Transaction Profilers
  - XRebel and Stackify Prefix
  - Aspect Profilers
    - use aspect-oriented programming (AOP) to inject code into the start and end of specified methods.
  - Java Agent profilers (ex. Netbeans built-in)
    - use the Java Instrumentation API to inject code into your application. This method has greater access to your application since the code is being rewritten at the bytecode level.



- Lightweight Java Transaction Profilers
  - Aspect profilers are pretty easy to setup but are limited in what they can monitor and are encumbered by detailing out everything you want to be tracked.
  - Java Agents have a big advantage in their tracking depth but are much more complicated to write.



- Low Overhead, Java JVM Profiling in Production (APM – APplication Monitoring)
  - New Relic, AppDynamics, Stackify Retrace, Dynatrace
  - how your system performs in production is critical
  - Java APM tools typically use the Java Agent profiler method
    - different instrumentation rules to allow them to run without affecting performance in productions.





- Choosing a more efficient algorithm or data structure is often the best way to improve program efficiency
  - Look for algorithms that reduce the order of complexity
    - E.g. Binary search  $O(\log n)$  vs. linear search O(n)
    - E.g. Merge sort  $O(n \log n)$  vs. bubble sort  $O(n^2)$



- Do this first before attempting other optimizations
  - Hand tuning an O(n<sup>2</sup>) algorithm won't yield near the same gains as using an O(n log n) algorithm
- Beware of worst-case performance
  - Some algorithms may not achieve their average Big-O performance under certain conditions
  - E.g. The quicksort degenerates to O(n<sup>2</sup>) with nearly-sorted inputs



- Sometimes an inefficient algorithm is fine for small inputs
  - The overhead of a complicated algorithm may make it slower than a simple one
    - And harder to debug and maintain!
  - Measure performance to make sure you've made the right choice



- Sometimes an inefficient algorithm is fine for small inputs
  - Java's own internal Quick Sort uses an Insertion Sort below a specific array size



## **Compiler Based Optimization**



- Enabling compiler optimization can improve speed by as much as 2 times
- Most compilers turn off optimization by default
  - Optimized code tends to confuse debuggers
- Works best with straightforward code
  - Hand tuned code may actually be harder for the compiler to optimize



- Some compilers optimize better than others
- E.g.

	Time without	Time with	
Compiler (C++)	optimization	optimization	Savings
1	2.21	1.05	52%
2	2.78	1.15	59%
3	2.43	1.25	49%



- Aggressive optimizers may introduce bugs
  - Rerun regression tests to ensure correctness
- gcc optimization flags:
  - Optimize: -O or -O1
  - Optimize even more: -O2
  - Optimize yet more: -O3
  - Don't optimize (default): -OO



• E.g. gcc -O2 -o myprog myfile.c



# Onward to ... logic optimization.

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