# Lecture #15: Many-One Reductions Key Concepts

## **Many-One Reductions**

Let  $\Sigma_1$  and  $\Sigma_2$  be two alphabets (possibly the same) and let  $L_1 \subseteq \Sigma_1^*$  and  $L_2 \subseteq \Sigma_2^*$  be two languages over these alphabets.

**Definition 1.** A *many-one reduction* from  $L_1$  to  $L_2$  is a *total* function

$$f: \Sigma_1^{\star} \to \Sigma_2^{\star}$$

such that the following properties are satisfied.

- (a) For every string  $\omega \in \Sigma_1^{\star}$ ,  $\omega \in L_1$  if and only if  $f(\omega) \in L_2$ .
- (b) The function f is computable.

To prove that a language  $L_1 \subseteq \Sigma_1^*$  is many-one reducible to a language  $L_2 \subseteq \Sigma_2^*$ ,

- 1. Clearly and precisely describe the *total* function  $f: \Sigma_1^{\star} \to \Sigma_2^{\star}$  that will be shown to be a "many-one reduction."
- 2. **Prove** that if  $\omega \in L_1$  then  $f(\omega) \in L_2$  for every string  $\omega \in \Sigma^*$ .
- 3. **Prove** that if  $\omega \notin L_1$  then  $f(\omega) \notin L_2$  for every string  $\omega \in \Sigma^*$ .
- 4. **Sketch a Proof** that f is computable including enough detail for it to be reasonably clear that you really *could* write a Python or Java program that computes this function from strings to strings.

### **Decision Problems**

**Definition 2.** A *decision problem* is a computational problem that asks a question — so that it has a "Yes/No" answer.

When an *alphabet*  $\Sigma$  and an *encoding* — mapping instances of the problem to strings in  $\Sigma^*$  — is chosen, *three* languages can be associated with the decision problem:

- Language of Instances: The set of strings in  $\Sigma^*$  that are encodings of instances of the decision problem.
- Language of Yes-Instances: The set of strings in  $\Sigma^*$  that are encodings of instances of the decision problem for which the answer is "Yes".
- Language of No-Instances: The set of strings in  $\Sigma^*$  that are encodings of instances of the decision problem for which the answer is "No".

#### The Usual Situation:

- Every instance of the decision problem is encoded by some string in  $\Sigma^*$ , and no string in  $\Sigma^*$  is an encoding for two or more instances of the decision problem.
- We are, generally, interested in decision problems whose languages of instances are decidable.
- When we say that we are "reducing one decision problem to another" in this course
   — we generally mean that we are giving a many-one reduction from the language of
   Yes-instances for the first decision problem to the language of Yes-instances of
   the second decision problem.

#### Suggested Process for Reductions with Decision Problems — for the "Usual Situation":

**The main new idea:** When you can, start by working at the high level (working with decision problems instead of languages of instances); get things right at that level; and then add detail, as needed to prove a corresponding reduction from one *language* to another.

- 1. Start by describing a mapping,  $\varphi$ , from instances of the first problem to instances of the second problem (which can be turned into the function, from strings to strings, that we will eventually need).
- 2. Show that the mapping  $\varphi$  maps each Yes-instance of the first problem to a Yes-instance of the second problem, and that  $\varphi$  maps each No-instance of the first problem to a No-instances of the second problem.

- 3. Now consider encoding schemes: One,  $e_1$ , maps instances of the first problem to strings over an alphabet  $\Sigma_1$ , and another,  $e_2$ , maps instances of the second problem to strings over an alphabet  $\Sigma_2$ .
  - These will generally be given for you, if you are completing a reduction to solve a problem on an assignment.
- 4. Let  $L_{I_1} \subseteq \Sigma_1^*$  be the language of encodings of instances of the first problem, and let  $L_{I_2} \subseteq \Sigma_2^*$  be the language of encodings of instances of the second problem.
- 5. Confirm the *Usual Situation:* Prove (often, by stating a previously established result) that  $L_{I_1}$  is decidable, and confirm that  $L_{I_2} \neq \Sigma_2^{\star}$  so that there exists a string  $\mu_{\text{Junk}} \in \Sigma_2^{\star}$  such that  $\mu_{\text{Junk}} \notin L_{I_2}$ . *Say, clearly, what string*  $\mu_{\text{Junk}}$  *you are going to use.*
- 6. Let  $f: \Sigma_1^{\star} \to \Sigma_2^{\star}$  be defined as follows for every string  $\mu \in \Sigma_1^{\star}$ .
  - If  $\mu \in L_{I_1}$  so that  $\mu$  is the encoding of some instances,  $\alpha$ , of the first problem, then  $f(\mu)$  is the encoding of the correspondence instance  $f(\alpha)$  of the second problem where  $\varphi$  is the mapping from instances of the first problem to instances of the second problem, chosen at the beginning of this process.
  - If  $\mu \notin L_{I_2}$  then  $f(\mu) = \mu_{\mathsf{Junk}}$  for the string  $\mu_{\mathsf{Junk}} \in \Sigma_2^{\star}$  chosen during the step before this one.

**Note:** If you have completed all the above steps then  $f: \Sigma_1^{\star} \to \Sigma_2^{\star}$  such that  $\omega \in L_1$  if and only if  $f(\omega) \in L_2$ , for every string  $\omega \in \Sigma_1^{\star}$ .

- 7. Give an algorithm to compute the function f and prove that it is correct.
  - It is often advisable to start at the high level, using the mapping  $\varphi$  and, and the encoding schemes for problems, to give an algorithm to compute f that can be described using pseudocode.
  - Details can be added, as needed, to confirm that there is a multi-tape Turing that computes the function f, as well.

# **Properties**

## **Closure Properties and Their Applications**

**Claim 1.** Suppose that  $L_1 \subseteq \Sigma_1^*$  and  $L_2 \subseteq \Sigma_2^*$  (for alphabets  $\Sigma_1$  and  $\Sigma_2$ ) are languages such that  $L_1 \preceq_M L_2$ . If  $L_2$  is decidable then  $L_1$  is decidable too.

**Claim 2.** Suppose that  $L_1 \subseteq \Sigma_1^{\star}$  and  $L_2 \subseteq \Sigma_2^{\star}$  (for alphabets  $\Sigma_1$  and  $\Sigma_2$ ) are languages such that  $L_1 \preceq_M L_2$ . If  $L_2$  is recognizable then  $L_1$  is recognizable too.

The following are corollaries of the above claims.

**Claim 3.** Suppose that  $L_1 \subseteq \Sigma_1^*$  and  $L_2 \subseteq \Sigma_2^*$  (for alphabets  $\Sigma_1$  and  $\Sigma_2$ ) are languages such that  $L_1 \preceq_M L_2$ . If  $L_1$  is undecidable then  $L_2$  is undecidable too.

**Claim 4.** Suppose that  $L_1 \subseteq \Sigma_1^*$  and  $L_2 \subseteq \Sigma_2^*$  (for alphabets  $\Sigma_1$  and  $\Sigma_2$ ) are languages such that  $L_1 \preceq_M L_2$ . If  $L_1$  is unrecognizable then  $L_2$  is unrecognizable too.

## Another process to prove that a language $L \subseteq \Sigma^*$ is undecidable:

- Choose another language  $\widehat{L} \subseteq \widehat{\Sigma}^{\star}$  (over some alphabet  $\widehat{\Sigma}$ ) such that  $\widehat{L}$  is undecidable.
- Prove that  $\widehat{L} \leq_{\mathsf{M}} L$ .
- Conclude, by Corollary #3, above, that L must be undecidable too.

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- Prove that  $\widehat{L} \prec_{\mathsf{M}} L$ .
- ullet Conclude, by Corollary #4, above, that L must be unrecognizable too.

## **Relationships Between Reducibilities**

Claim 5. The set of many-one reductions forms a reducibility.

Claim 6. Let  $L_1 \subseteq \Sigma_1^*$  and let  $L_2 \subseteq \Sigma_2^*$ . If  $L_1 \preceq_M L_2$  then  $L_1 \preceq_O L_2$ .

**Claim 7.** There exist languages  $L_1 \subseteq \Sigma_1^{\star}$  and  $L_2 \subseteq \Sigma_2^{\star}$  (for alphabets  $\Sigma_1$  and  $\Sigma_2$ ) such that  $L_1 \preceq_{\mathcal{O}} L_2$  but  $L_1 \not\preceq_{\mathcal{M}} L_2$ .